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Box: CPA

May 16, 2001

Commissioner for Patents Washington, D.C. 20231

> U.S. Utility Patent Application Re:

Request for Continued Prosecution Application (CPA)

Appl. No. 09/333,966; Filed: June 16, 1999 **Death Domain Containing Receptors**

Inventors: Yu et al.

Our Ref: 1488.0310005/EKS/KRM

Sir:

Transmitted herewith for appropriate action are the following documents:

- Fee Transmittal Form PTO/SB/17; 1.
- Petition For Extension of Time Under 37 C.F.R. § 1.136(a)(1) (in duplicate); 2.
- CPA Transmittal Form PTO/SB/29 (in duplicate); 3.
- Check No. **31327** the amount of **\$1,680.00** to cover: 4.

\$710.00 Basic filing fee (37 C.F.R. § 1.16(a)); and

\$890.00 Extension of Time;

For one excess independent claim; and \$80.00

5. One return postcard. STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Commissioner for Patents May 16, 2001 Page 2

It is respectfully requested that the attached postcard be stamped with the filing date of these documents and returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Karen R. Markowicz

Agent for Applicants

Registration No. 36,351

KRM/pcd Encls.

P: USERS pdomally KAREN 1488 031-5 CPA ptocyrltr

MAY 1 6 2001 PTO/SB/29 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE persons are required to respond to a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction 1995.

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing. (Only for Continuation or Divisional applications under 3 CFR 1.53(di) CHECK BOX if applicable:										
			Attorney Docket No. of Prior Application	1488.0310005/EKS/KRM						
Address	s to:	Assistant Commissioner for Patents	First Named Inventor	Yu et al.						
		Box CPA	Examiner Name	Ulm, J.						
		Washington, DC 20231	Group/Art Unit	1646						
			Express Mail Label No.							
This is a request for a continuation or divisional application under 37 CFR § 1.53(d), (continued prosecution application (CPA)) of the prior application number 09 /333,966. filed on June 16, 1999 entitled: Death Domain Containing Receptors										
			NOTES							
	FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either. (1) complete as defined by 3° CFR § 1.51(b) or (2) the national stage of an international application in compliance with 35° U.S.C. 3°1. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice Changes to and Provisional Application Practice," Final Rule, 65° Fed. Reg. 50092 (Aug 16, 2000); Interim Rule, 65° Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz Pat. Office (Apr. 11, 2000).									
	C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 3 CFR § 1.53(d), but must be filed under 3 CFR § 1.53(b).									
	EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA-3 CFR § 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.									
	ACCESS TO PRIOR APPLICATION. The filing of this CPA will be construed to include a waiver of confidentiality by the application under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 3° CFR § 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.									
	35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 3 CFR § 1.78(a).									
	Warning: Information on this form may become public. Credit Card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
1.	1. Enter the unentered amendment previously filed on <u>February 20, 2001</u> under 37 CFR § 1.116 in the prior nonprovisional application.									
2. 🔲	A prelii	ninary amendment is enclosed.								
3. This	application	on is filed by fewer than all the inventors	named in the prior application	n, 37 CFR § 1.53(d)(4).						
	a. DELETE the following inventor(s) named in the prior nonprovisional application:									
	b. The inventor(s) to be deleted are set forth in a separate sheet attached hereto.									
4.	4. A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.									
5. Information Disclosure Statement (IDS) is enclosed:										
	a. PTO-1449									
	b. Copies of IDS citations									

[Page 1 of 2]
Burden Hour Statement: this form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FFES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, DC 20231.

05/17/2001 SDENBOB1 00000025 09333966

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710.00 OP 80.00 OP

PTO/SB/29 (10-00)

Approved for use through 10/31/2002 OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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CLAIMS		CONTRACTOR DELLETS	Latination	LABORE	ASSOCIATION ASSOCIATION OF						
	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS						
ľ	TOTAL CLAIMS (37 CFR § 1.16(c) or (j))	20 - 20* =	0	x \$ 18.00 ·	\$0.00						
	INDEPENDENT CLAIMS (37 CFR § 1.16(b) or (i))	4 - 3** -	1	x \$ 80.00 -	80.00						
	MULTIPLE DEPENDENT CLAI	MS (if applicable) (37 CFR § 1.1	x \$ 270.00								
			BASIC FEE (37 CFR §1.16)	\$ 710.00							
		4 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	of above Calculations	790.00							
	Reduction by 50% for filing by small entity. (Note 37 CFR 1.27).										
	* Reissue claims in excess of 20 and over original patent. **Reissue independent claims over original patent. TOTAL =										
☐ Sma	all entity status: Applicant c	laims small entity status.	See 37 CFR 1.27.								
				0.11 · 0 · D							
	Commissioner is hereby au 19-0036:	ithorized to credit overpa	yments or charge t	ne following fees to Depo	osit Account						
a. =		CFR § 1.16.	b. 🖿 Fees requi	red under 37 CFR § 1.17.							
c	Fees required under 37	CFR § 1.18.									
■ A cl	heck in the amount of \$1,68	30.00 is enclosed.									
☐ Payı	ment by credit card. Form I	PTO-2038 is enclosed.									
O. Applicant requests suspension of action under 37 CFR 1.103(b) for a period of months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.											
_	ior application Attorney Docket Nu	-	unless a new 4ttorney D	ocket Number has been provided	i herem.l						
2. a. □ R	eccipt For Facsimile Transmit	ted CPA (PTO/SB/29A)		······································	,						
b. 🔳 R	Return Receipt Postcard (Shoul	•									
3. ■ Othe . ■ Othe		tension of Time for the Pa ignee is: Human Genome									
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NOTE:	The prior application's correspond				ovided below.						
	· <u></u> -	14. NEW C	ORRESPONDENCE	ADDRESS							
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Name	Sterne, Kessler, Goldst	tem & Fox P L L C	ATENT TRADEMARK OFFIC								
Name Address	Sterne, Kessler, Golds		ATENT TRADEMARK OFFIC	Zip Code							
Name	Sterne, Kessler, Golds	State Telephone	ATENT TRADEMARK OFFIC	Zφ Code Fax							
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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known **Application Number** 09/333,966, Filing Date June 16, 1999 Guo-Liang Yu First Named Inventor Examiner Name Ulm, J. Group Art Unit 1646 1488.0310005/EKS/KRM Attorney Docket No.

TOTAL AMOUNT OF PAYMENT

(\$)1,680.00

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)					
	3. ADDIT					
1. Unfle Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:	Large	Entity	Small			
Deposit Account Number 19-0036	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid
Deposit Account Name Sterne, Kessler, Goldstein & Fox P.L.L.C.	105	130	205	65	Surcharge - late filing fee or oath	
	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	:
☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	139	130	139	130	Non-English specification	
☐ Applicant claims small entity status	147	2,520	147	2,520	For filing a request for ex parte reexamina	ation
See 37 CLR 1.27	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	r
2. Payment Enclosed	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner at	ction
☐ Check ☐ Credit card ☐ Money Order ☐ Other* *Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.	115	110	215	55	Extension for reply within first month	
FEE CALCULATION	116	390	216	195	Extension for reply within second month	
I. BASIC FILING FEE	117	890	217	445	Extension for reply within third month	890.00
Large Entity Small Entity	118	1,390	218	695	Extension for reply within fourth month	
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	128	1,890	228	945	Extension for reply within fifth month	
101 710 201 355 Utility filing fee <u>710.00</u>	119	310	219	155	Notice of Appeal	
106 320 206 160 Design filing fee	120	310	220	155	Filing a brief in support of an appeal	
107 490 207 245 Plant filing fee	121	270	221	135	Request for oral hearing	
108 710 208 355 Reissue filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	ng
114 150 214 75 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable	
	141	1,240	241	620	Petition to revive - unintentional	
SUBTOTAL (1) (\$) 710.00	142	1,240	242	620	Utility issue fee (or reissue)	
	143	440	243	220	Design issue fee	
	144	600	244	300	Plant issue fee	
	122	130	122	130	Petitions to the Commissioner	
2. EXTRA CLAIM FEES Fee from Extra below Fee Paid	123	130	123	130	Petitions related to provisional application	
Total Claims 20 20** =0X =00	126	180	126	180	Submission of Information Disclosure Str	nt
Indep. Claims 4 - 3** = 1	581	40	481	40	Recording each patent assignment per property (times number of properties)	
Multiple Dependent =	146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
Large Entity Small Entity Fee Fee Fee Fee Description	149	710	249	355	For each additional invention to be examit (37 CFR 1.129(b))	ined
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	179	710	279	355	Request for Continued Examination (RCE	E)
102 80 202 40 Independent claims in excess of 3 104 270 204 135 Multiple dependent claim	169	900	169	900	Request for expedited examination of a design application	
108 80 209 40 **Reissue independent claims over original	Other fee (s	specify)				
patent 110 18 210 9 "Reissue claims in excess of 20 and over original patent						
SUBTOTAL (2) (\$) 80.00	*Reduced by Basic Filing Fee Paid				CURTOTAL (C) (C) CCC C	
·· or number previously paid if greater, For Reissues, see above	<u> </u>		-	-	SUBTOTAL (3) (\$) 890.00	
SUBMITTED BY	-		·		Complete (if app	licable)
Name (Print/Type) Karen R Markowicz		Ri (Al	Registration No (Attorney/Agent)		36,351 Telephone	202-371-2600
Signature Karent. Maliox	115				Date	5/16/01